

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

STEPHEN SULLIVAN, WHITE OAK FUND LP,  
CALIFORNIA STATE TEACHERS' RETIREMENT  
SYSTEM, SONTERRA CAPITAL MASTER FUND, LTD.,  
FRONTPOINT PARTNERS TRADING FUND, L.P., AND  
FRONTPOINT AUSTRALIAN OPPORTUNITIES TRUST  
on behalf of themselves and all others similarly situated,

Plaintiffs,

– against –

BARCLAYS PLC, BARCLAYS BANK PLC, BARCLAYS  
CAPITAL INC., BNP PARIBAS S.A., CITIGROUP, INC.,  
CITIBANK, N.A., COÖPERATIEVE CENTRALE  
RAIFFEISEN-BOERENLEENBANK B.A., CRÉDIT  
AGRICOLE S.A., CRÉDIT AGRICOLE CIB, DEUTSCHE  
BANK AG, DB GROUP SERVICES UK LIMITED, HSBC  
HOLDINGS PLC, HSBC BANK PLC, ICAP PLC, ICAP  
EUROPE LIMITED, J.P. MORGAN CHASE & CO.,  
JPMORGAN CHASE BANK, N.A., THE ROYAL BANK OF  
SCOTLAND PLC, SOCIÉTÉ GÉNÉRALE SA, UBS AG AND  
JOHN DOE NOS. 1-50,

Defendants.

Docket No. 13-cv-02811(PKC)

ECF Case

**PLAINTIFFS' NOTICE OF MOTION FOR PRELIMINARY APPROVAL OF CLASS  
ACTION SETTLEMENT WITH HSBC HOLDINGS PLC AND HSBC BANK PLC**

**PLEASE TAKE NOTICE** that, upon the accompanying memorandum of law, the  
Declarations of Vincent Briganti, Esq., Christopher Lovell, Esq., and Gary McGowan, Esq., and the  
exhibits attached thereto, including the Settlement Agreement ("Settlement"), and the record herein,  
Plaintiffs, by and through their undersigned counsel, will respectfully move this Court, before the  
Honorable P. Kevin Castel, United States District Judge, at the United States District Court,  
Southern District of New York, 500 Pearl Street, New York, New York on a date and time to be set  
by the Court, for an order granting Plaintiffs' motion for preliminary approval of the Settlement

between Plaintiffs and HSBC Holdings plc and HSBC Bank plc and for other relief set forth in the proposed order annexed hereto.

Dated: White Plains, New York  
January 11, 2017

By: /s/ Vincent Briganti  
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Geoffrey Horn  
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